



## Shielding calculations with Markov chains and genetic algorithms

Konstantin Batkov<sup>1</sup> Stuart Ansell<sup>1</sup> Phil Bentley<sup>2</sup> Leonid Kurakhtenkov

<sup>1</sup>MAX IV Laboratory, Lund University, Lund, Sweden <sup>2</sup>European Spallation Source, Lund, Sweden

RadSynch23 | ESRF | 2023-06-02



#### 1 Motivation

#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms
- 6 Results

### 7 Applications



#### Motivation

#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms

#### 6 Results

#### 7 Applications



# How to stop a beam?

 $\bullet$  ~ few GeV electrons,

but does not really matter

Imagine how you would do it ...



#### Probably:

- $\blacksquare$  Use a heavy material block to stop  $\gamma$ 
  - but keep in mind its Z to reduce  $(\gamma, n)$



#### Probably:

- $\blacksquare$  Use a heavy material block to stop  $\gamma$
- Surround it with something light to slow down fast neutrons
  - to increase  $\sigma(n,\gamma)$



#### Probably:

- $\blacksquare$  Use a heavy material block to stop  $\gamma$
- Surround it with something light to slow down fast neutrons
- Optimise dimensions to reduce dose rates where needed

- Sounds easy?
- Challenge comes from the **boundary conditions**:
  - beam dumps are normally large and heavy
  - but this one should be small and light

#### Vertical section



Vertical section



- Limit dose rates in the occupied areas
  - both forward and lateral
- Fit within the horisontal **space** (1 m)
- Minimise weight (load on the floor)
- Minimise cost and complexity

#### Multi-dimensional optimisation

- Parameter space
  - Dimensions
  - Materials
    - either heterogeneous or homogeneous

#### Multi-dimensional optimisation

- Parameter space
  - Dimensions
  - Materials
    - either heterogeneous or homogeneous
- Monte Carlo runtime
  - Single configuration: ~4 h on 100 cores ← slow
    - with aggressive variance reduction
- $\Rightarrow$  Can't explore large parameter space

### Simplification needed



#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms
- 6 Results

#### 7 Applications

## Inspiration

Received: 25 November 2020

0 Revised: 11 October 2021

Accepted: 12 October 2021

DOI: 10.1002/mp.15339

#### RESEARCH ARTICLE

#### MEDICAL PHYSICS

#### An iterative prediction method for designing the moderator used for the boron neutron capture therapy

Ruiqin Zhang<sup>1,2</sup> | Yangyi Yu<sup>1,2</sup> | Zhi Zhang<sup>1,2</sup> | Yigang Yang<sup>1,2</sup>

<sup>1</sup> Department of Engineering Physics, Tsinghua University, Beijing, People's Republic of China

<sup>2</sup> Key Laboratory of Particle & Radiation Imaging, Tsinghua University, Ministry of Education, Beijing, People's Republic of China

#### Correspondence

Yigang Yang, Department of Engineering Physics, Tsinghua University, Beijing, People's Republic of China. Email: yangyigang@mail.tsinghua.edu.cn

#### Funding information

National Natural Science Foundation of China, Grant/Award Numbers: 11735008, 11975137

#### Abstract

Purpose: To conduct research related to slow neutrons, fast neutrons must be mode-rated and shifted to the desired energy region.

Methods: In this research, an iterated prediction method, in which the neutron transportation properties of all materials were characterized by a reflection matrix, **R**, and a transmission matrix, **T**, was proposed to bypass a time-consuming Monte Carlo simulation and predict the performance of the moderator, including the epithermal neutron flux and the dose of fast neutrons and gamma rays, used for boron neutron capture therapy (BNCT). To find the optimal solution in the huge parameter space, a genetic algorithm combined with transmission and reflection matrices was utilized.

Results: The results showed that a 70-loop iteration was able to find a design for the moderator of BNCT with almost 80% higher epithermal neutron flux per kilowatt than that of the empirically optimized moderator that was previously reported in the literature. Compared with the Monte Carlo method, this method had the advantage of reducing the calculation time and statistical errors.

## Inspiration



R. Zhang et al

- The paper describes a methodology of 1D neutron transport with transmission matrices
  - and optimisation of the moderator layout with genetic algorithms
- We extended it to transport arbitrary number of particle types including conversion between particle types
  - and improved accuracy utilising the Markov chain process

#### 1 Motivation

#### 2 Inspiration

#### 3 Transmission matrices

- 4 Markov chains
- 5 Genetic algorithms

#### 6 Results

### 7 Applications

The radiation transport is simplified by performing it along a single direction at a time





- The material is split by thin layers
- For each material, its Green's functions are pre-calculated with Monte Carlo (needs to be done once)

#### Green's functions — definition

If one knows the solution G(x, x') to a  $\delta$ -function

$$\hat{L}(x) G(x, x') = \delta(x - x')$$

then one can fold them to build the solution

$$u(x) = \int f(x') G(x, x') dx'$$

for a general source term

$$\hat{L}(x) u(x) = f(x)$$



#### Green's functions — calculation

- Bin the energy range of interest
- 2 Bin the angular range  $[-\pi,\pi]$
- For each incident particle type, energy and angle calculate double differential spectra of reflected and transmitted particles with Monte Carlo
- 4 Use these spectra to build reflection and transmission matrices for each particle type, i.e. for neutrons and photons:

$$= R_{n \to n}, R_{n \to \gamma}, R_{\gamma \to n}, R_{\gamma \to \gamma}$$

- $T_{n \to n}, T_{n \to \gamma}, T_{\gamma \to n}, T_{\gamma \to \gamma}$
- Matrix shape:  $(N_E \cdot N_\Omega) \times (N_E \cdot N_\Omega)$



 $S_0 \rightarrow \rightarrow$ 

Solution for 1 layer •  $S_1 = S_0 \times T_1$ 

- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
- *T<sub>i</sub>* layer *i* transmission matrix
- *R<sub>i</sub>* layer *i* reflection matrix



- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
   T<sub>i</sub> layer i transmission matrix
- *R<sub>i</sub>* layer *i* reflection matrix

 $S_0 \rightarrow$ 

Solution for 2 layers

• 
$$S_1 = S_0 \times I_1$$
  
•  $S_2 \approx S_1 \times T_2 + S_1 \times R_2$ 

- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
   T<sub>i</sub> layer i transmission matrix
- $R_i$  layer *i* reflection matrix

 $5_0 \rightarrow 3$ 

Solution for 2 layers

$$S_1 = S_0 \times T_1$$
$$S_2 \approx S_1 \times T_2 + S_1 \times R_2 \times R_1$$

- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
   T<sub>i</sub> layer i transmission matrix
- $R_i$  layer *i* reflection matrix

 $S_0 \rightarrow$ 

### Solution for 2 layers

• 
$$S_1 = S_0 \times T_1$$
  
•  $S_2 \approx S_1 \times T_2 + S_1 \times R_2 \times R_1 \times T_2$ 

- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
- *T<sub>i</sub>* layer *i* transmission matrix
- *R<sub>i</sub>* layer *i* reflection matrix

# Transmission matrices Solution

Solution for 2 layers

$$S_1 = S_0 \times T_1$$

•  $S_2 \approx S_1 \times T_2 + S_1 \times R_2 \times R_1 \times T_2$ + higher order reflections

- S<sub>i</sub> spectra exiting layer i (and entering layer i + 1)
   S<sub>0</sub> source term (mixed particle spectra allowed)
- *T<sub>i</sub>* layer *i* transmission matrix
- *R<sub>i</sub>* layer *i* reflection matrix

# Transmission matrices Solution



#### Solution for n layers

$$S_1 = S_0 \times T_1$$

 $S_n \approx \ldots$ 

$$S_2 \approx S_1 \times T_2 + S_1 \times R_2 \times R_1 \times T_2$$
  
+ higher order reflections

S<sub>i</sub> — spectra exiting layer i (and entering layer i + 1)
 S<sub>0</sub> — source term (mixed particle spectra allowed)

- *T<sub>i</sub>* layer *i* transmission matrix
- $R_i$  layer *i* reflection matrix

### Transmission matrices Results



- Incident beam: 3 GeV electrons
- Material: Concrete
- Thickness: 1 m (100 layers)
- Transported particles: n,  $\gamma$ ,  $e^{\pm}$ ,  $\mu^{\pm}$

Matrix shape:  $130 \cdot 18 \Rightarrow$  $2340 \times 2340$ 

- Energy: 130 bins between 1 meV and 5 GeV
- Emission angles: 18 bins between  $-\pi$  and  $\pi$

# Photons

#### Transmission matrices Results — Photons



#### Transmission matrices Results — Photons



#### Transmission matrices Results — Photons



# Electrons

#### Transmission matrices Results — Electrons



#### Transmission matrices Results — Electrons



# Muons

#### Transmission matrices Results — Muons



# Neutrons

#### Transmission matrices Results — Neutrons



#### Transmission matrices Results — Neutrons



19 / 40

#### Motivation for using Markov chains to improve neutron spectrum



#### Motivation for using Markov chains to improve neutron spectrum





#### 1 Motivation

#### 2 Inspiration

3 Transmission matrices

#### 4 Markov chains

5 Genetic algorithms

#### 6 Results

### 7 Applications

Future depends on today but not on yesterday

## Typical examples

- Brownian motion
- Poisson processes
- Stock market
- Google's PageRank
- Monopoly game
  - search: "Dominating Monopoly using Markov chain"

#### Markov chains Block matrix definition for 1 layer



Matrix shape:  $((N_{layers} + 2) \cdot N_E \cdot N_\Omega \cdot N_{particle types}) \times ((N_{layers} + 2) \cdot N_E \cdot N_\Omega \cdot N_{particle types})$ 

#### Markov chains Block matrix definition for 2 layers



Matrix shape:  $((N_{layers} + 2) \cdot N_E \cdot N_\Omega \cdot N_{particle types}) \times ((N_{layers} + 2) \cdot N_E \cdot N_\Omega \cdot N_{particle types})$ 

$$S_n \leftarrow S_0 \times (M_n)^k$$

- $S_0$  source term (mixed particle spectra allowed)
- $S_n$  spectra exiting *n* layers
- $(M_n)^k$  Markov chain matrix for *n* layers in the power of *k* 
  - The value of k defines the reflection orders to be included in the result
  - The process quickly converges  $\Rightarrow k$  does not need to be large

### Markov chains Results — Neutrons



#### Markov chains Results — Neutrons



#### 1 Motivation

#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms

#### 6 Results

### 7 Applications

### Genetic algorithms Motivation

- At this point we can quickly calculate particle spectra beyond thick shielding
- This allows us to test many heterogeneous beam dump configurations in 1D



- How many configurations to test?
  - Number of layers: n=100
  - Number of materials, e.g. k=7
    - $\Rightarrow$  brute force is still too time expensive

- Genetic algorithms implement natural selection principles
  - Crossover
  - Mutation
- They induce evolution of population to a state that maximises the specified figure-of-merit
- We can define a figure-of-merit to reflect our boundary conditions:
  - Dose rate
  - Size
  - Mass
  - Cost
  - Complexity



- *p<sub>c</sub>* crossover probability
- Elements of both parents are randomly exchanged to form a new offspring



*p<sub>m</sub>* — mutation probability

The offspring inherits the elements of its parent but some randomly chosen elements are randomly changed

#### 1 Motivation

#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms

#### 6 Results



- Steel
- Lead
- Tungsten
- Concrete
- Boron carbide (B<sub>4</sub>C)
- Water
- Polyethylene

## Optimised beam dump layout







#### Almost the same as our initial guess!



### Results Final geometry (as built without Polyethylene)



#### 1 Motivation

#### 2 Inspiration

- 3 Transmission matrices
- 4 Markov chains
- 5 Genetic algorithms

#### 6 Results

### 7 Applications

MAG — an open source tool for 1D shielding calculations: https://github.com/kbat/mag

```
Solver

$ mag-solve -layers 5 Lead 100 Concrete 5 Poly

-source e 3e3

Dose rates [pSv/primary]:

e: 1.13

n: 0.04

γ: 1.42

μ: 1.49e-11

total: 2.56
```

#### + data file with spectra for each particle type

MAG — an open source tool for 1D shielding calculations: https://github.com/kbat/mag

### Optimiser

\$ mag-optimise -nlayers 100 -source e 3e3

- Optimises a slab made of 100 layers filled with materials from the existing database
- Arbitrary figure-of-merit can be specified
- Complex sources are supported

- Simple shielding calculations
  - e.g. non-neutron applications
  - e.g. for non-FLUKA users
- General particle transport through matter
- Layer/mesh-based variance reduction generation



## Zhang R, Yu Y, Zhang Z, Yang Y.

An iterative prediction method for designing the moderator used for the boron neutron capture therapy Med Phys. 2022; **49**:598–610. https://doi.org/10.1002/mp.15339 2022