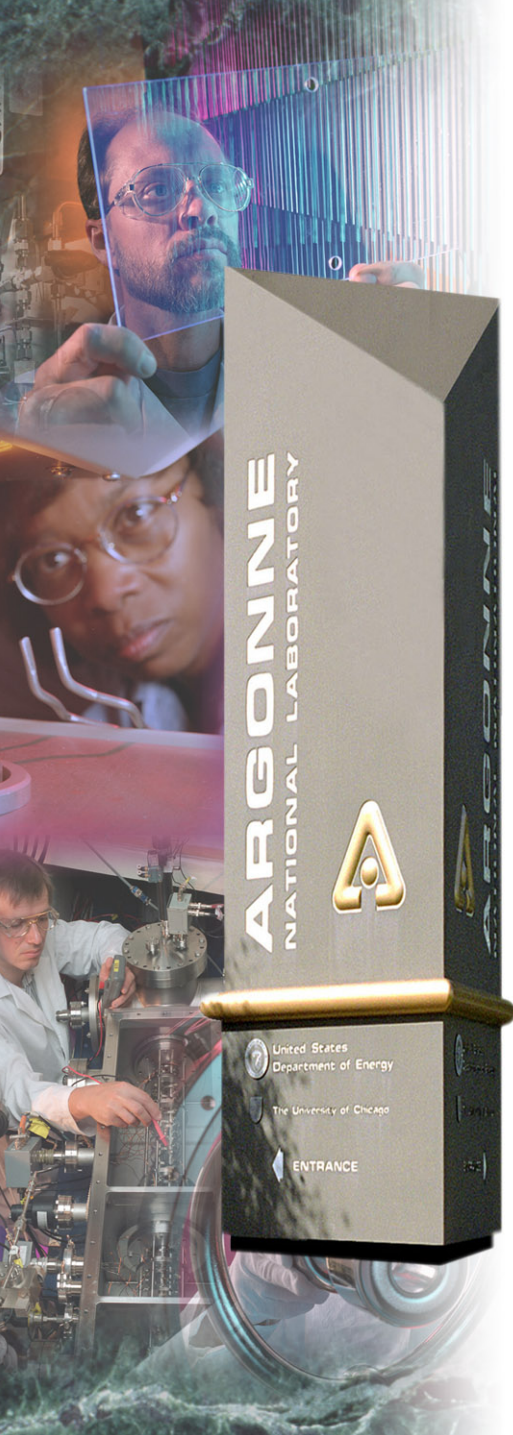


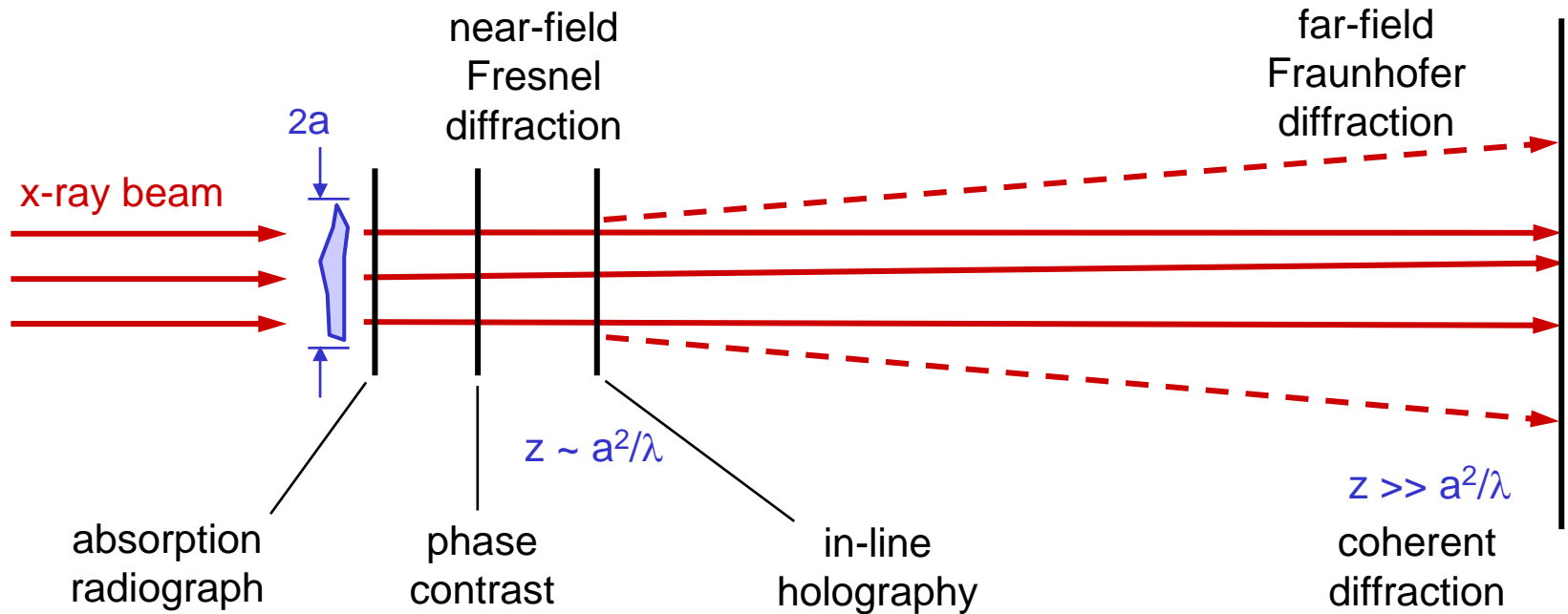
# Universal Iterative Phasing Method for Near-Field and Far-Field Coherent Diffraction Imaging

Qun Shen (APS, ANL)  
Xianghui Xiao (Cornell)

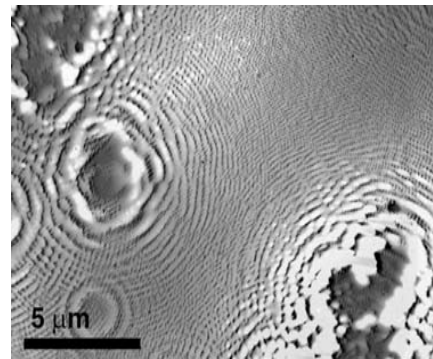
- ⇒ Imaging in different regimes
  - ❖ near-field phase contrast
  - ❖ in-line holography
  - ❖ coherent diffraction
- ⇒ Distorted object approach
  - ❖ Fresnel wave propagation by FFT
  - ❖ unified iterative phasing
- ⇒ Recent activities at APS
  - ❖ coherent imaging beamline
  - ❖ phase-sensitive topography
  - ❖ dose estimates & scaling with resolution
- ⇒ Summary



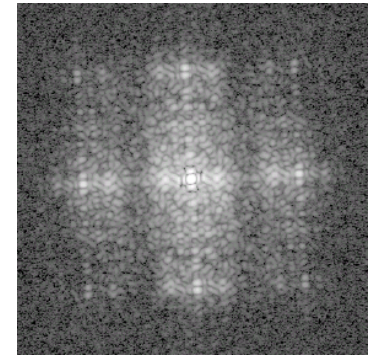
# Different Regimes of X-ray Imaging



*Kagoshima et al.  
JJAP (1999).*



*Jacobsen (2003).*



*Miao et al.  
Nature (1999).*

# Image Reconstruction in Different Regimes



## ⇒ Absorption regime

- ❖ straightforward, based on intensity attenuation
- ❖ 3D tomographic reconstruction

## ⇒ Phase contrast regime

- ❖ edge-enhanced shape recognition
- ❖ transport of intensity equation (TIE)
- ❖ holotomographic method based on Tolbot effect

## ⇒ In-line holographic regime

- ❖ holographic reconstruction
- ❖ twin-image problem

## ⇒ Far-field regime

- ❖ iterative phasing method
- ❖ Fourier transforms in real and reciprocal space
- ❖ requires oversampled diffraction pattern



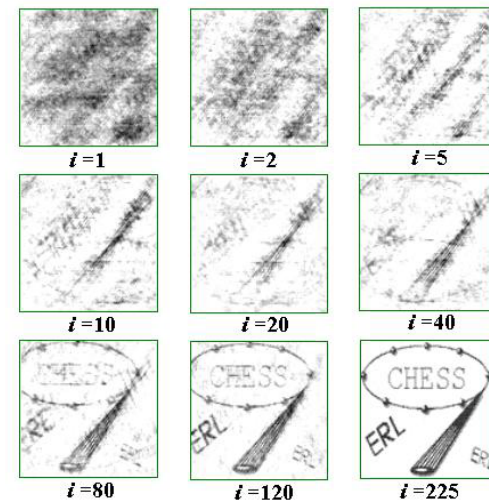
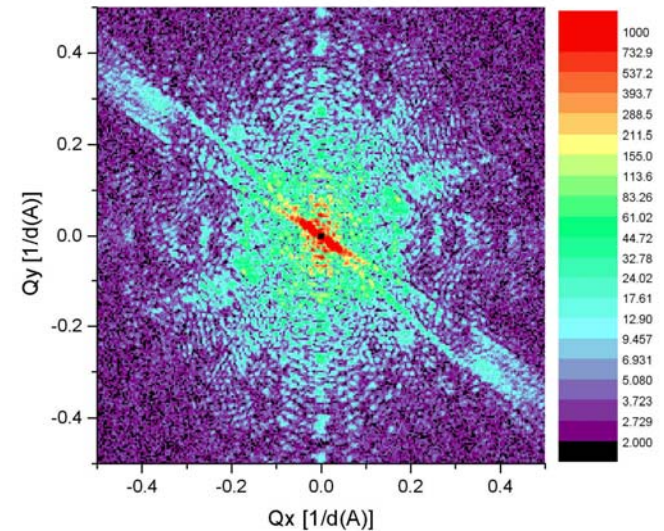
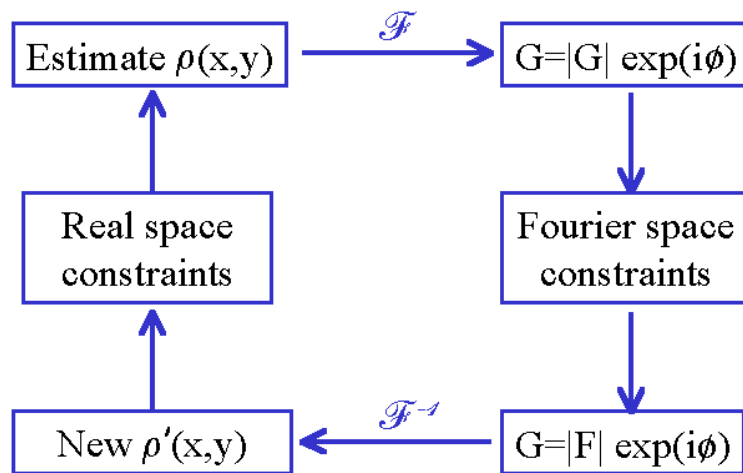
→ **Unified Method ?**

# Iterative Method in Far-field Diffraction

Gerchberg & Saxton, Optik 35, 237 (1972)

Fienup, Appl. Opt. 21, 2758 (1982)

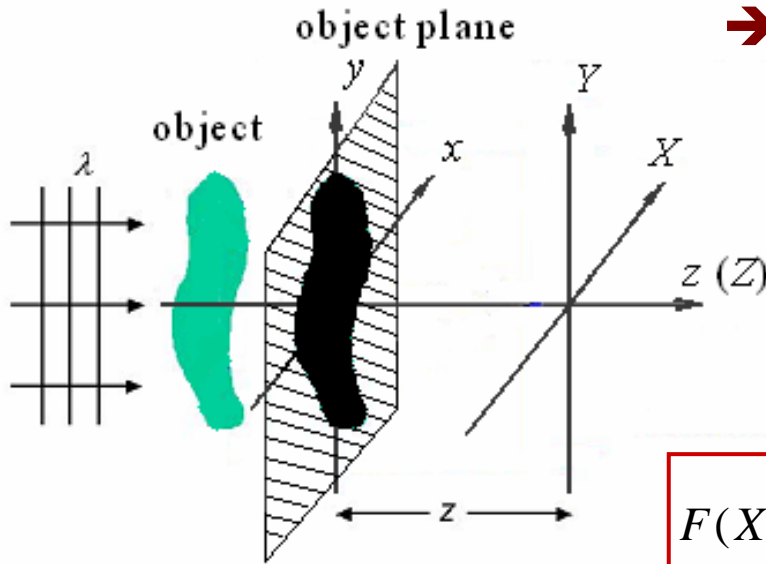
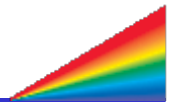
$$\rho(x,y) \xleftrightarrow{\mathcal{F}} F(u,v) = |F(u,v)| \exp[i\phi(u,v)]$$



**Question: Can we extend FFT-based iterative algorithm to near-field ?**

Elser, JOSA, A20, 40 (2003); Shen et al, JSR 11, 432 (2004)

# Fresnel Wave Field Propagation



→ Fresnel formula for wave propagation

$$F(X, Y) = \frac{i}{\lambda} \iint u(x, y) \frac{e^{-ikr}}{r} dx dy$$

$$r = [z^2 + (X - x)^2 + (Y - y)^2]^{1/2}$$

$$\approx z + [(X - x)^2 + (Y - y)^2] / 2z$$

$$F(X, Y) = \frac{i e^{-ikR}}{\lambda R} \iint u(x, y) e^{-\frac{i\pi}{\lambda z}(x^2 + y^2)} e^{-\frac{i2\pi}{\lambda z}(Xx + Yy)} dx dy$$

→ Wave-field in the object plane

$$R = (x^2 + y^2 + z^2)^{1/2}$$

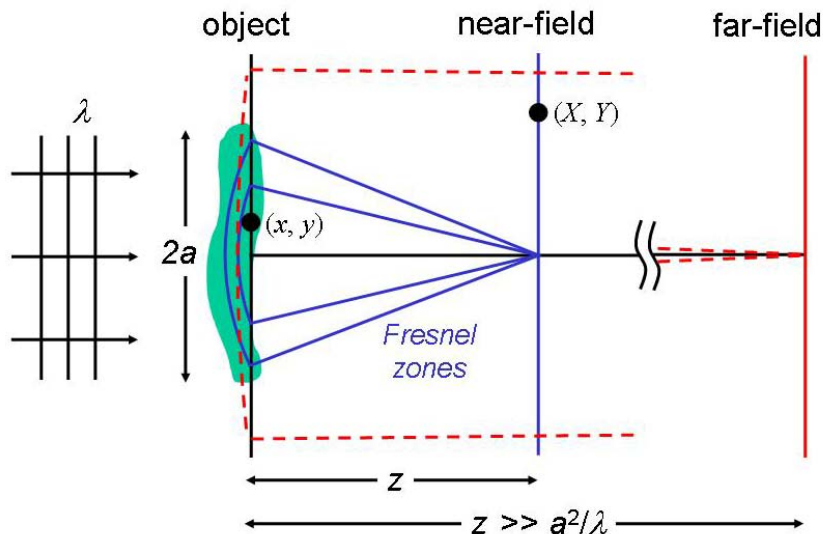
$$u(x, y, 0) = \exp(-ik \cdot \int_{-\infty}^0 (\delta(x, y, z) - i\beta(x, y, z)) dz)$$

$$u(x, y, 0) = A \exp(-i\phi(x, y, 0)) = a(x, y, 0) + ib(x, y, 0)$$

$$\approx \exp(-ik \int_{-\infty}^0 \delta(x, y, z) dz) \quad (\text{pure phase object})$$

Van der Veen & Pfeiffer,  
*J. Phys.: Condens.  
 Matter* 16, 5003 (2004)

# Distorted Object Approach



⇒ **Unified wave propagation method by Fourier transform**

Momentum transfer:  $(Q_x, Q_y) = (kX/z, kY/z)$

Number of Fresnel zones:  $N_z = a^2/(\lambda z)$

*Xiao & Shen, PRB, in press (July 2005)*

**Phase-chirped distorted object:**

$$\bar{u}(x, y) \equiv u(x, y) e^{-\frac{i\pi}{\lambda z}(x^2 + y^2)}$$

$$F(X, Y) = \frac{i e^{-ikR}}{\lambda R} \iint \bar{u}(x, y) e^{-\frac{ik}{z}(Xx + Yy)} dx dy$$

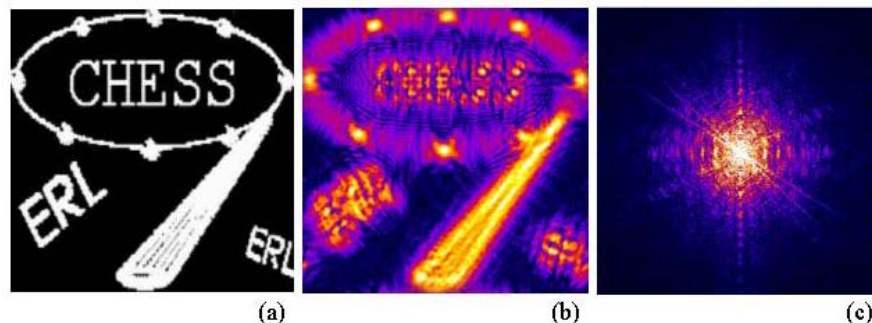
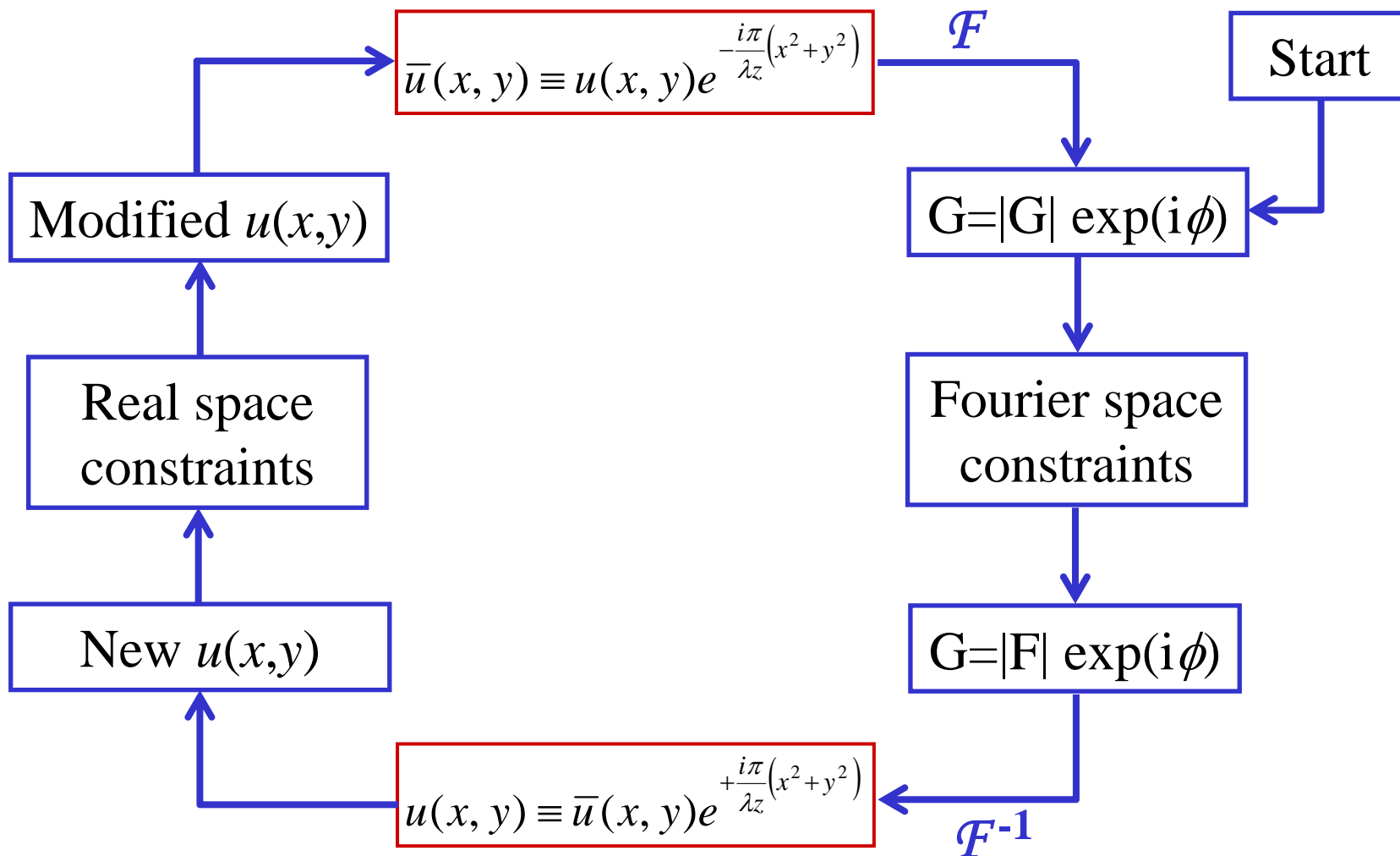
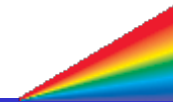
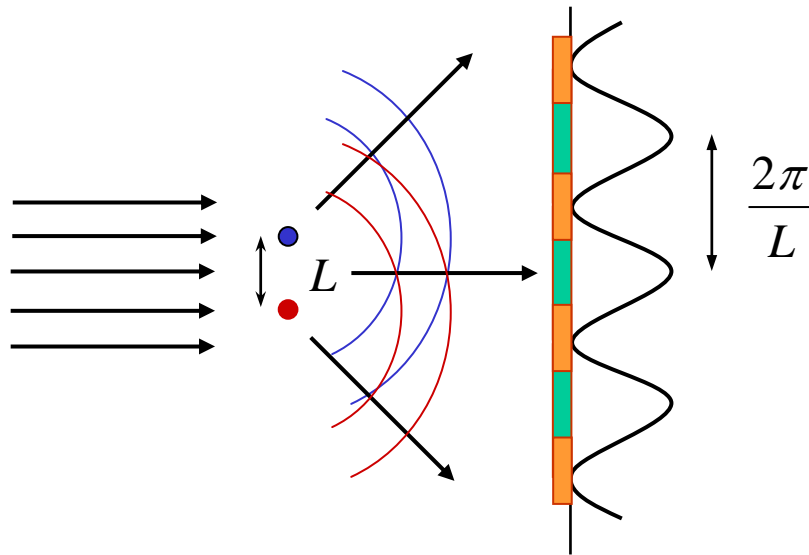
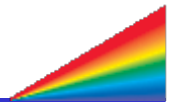


Fig.2: Simulated diffraction amplitudes  $|F(X, Y)|$ , of an amplitude object (a) of  $10\mu\text{m} \times 10\mu\text{m}$ , with  $\lambda = 1 \text{ \AA}$  x-rays, at image-to-object distance (b)  $z = 2\text{mm}$  and (c)  $z = \infty$ , using the unified distorted object approach (above) with  $N_z = 500$  zones in (b) and  $N_z = 0$  in (c). Notice that the diffraction pattern changes from noncentrosymmetric in the near-field (b) to centrosymmetric in the far-field (c).

# Iterative Phasing with Distorted Object



# Oversampling @ $2x$ Nyquist $f =$ Correct Sampling



=> Sampling at frequency  $2\pi/L$  in Fourier space is not fine enough to resolve interference fringes!

=> Additional measurements *in-between*  $2\pi/L$  are necessary to tell us some interference is going on.

=> Minimum oversampling ratio is 2, regardless whether it is 1D, 2D or 3D.

$$\Delta Q_{\max}^{1D} = \frac{2\pi}{L} \cdot \frac{1}{2} = \frac{\pi}{L}$$

$$\Delta Q_{\max}^{2D} = \frac{2\pi}{L} \cdot \frac{1}{\sqrt{2}} = \frac{\sqrt{2}\pi}{L}$$

$$\Delta Q_{\max}^{3D} = \frac{2\pi}{L} \cdot \frac{1}{\sqrt[3]{2}}$$

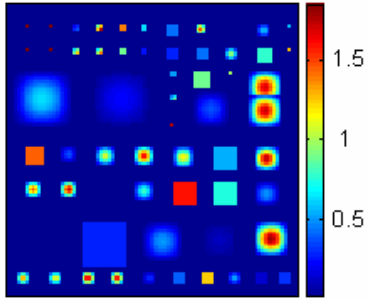
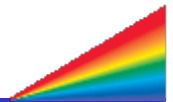
X-ray wavelength is  $\lambda$ , object's half width  $a$ , object-image distance  $z$ , and oversampling factor  $O$ , the pixel size of detector

$$\Delta X \leq \frac{a}{2O \cdot N_z}, \quad N_z = \frac{a^2}{\lambda \cdot z}$$

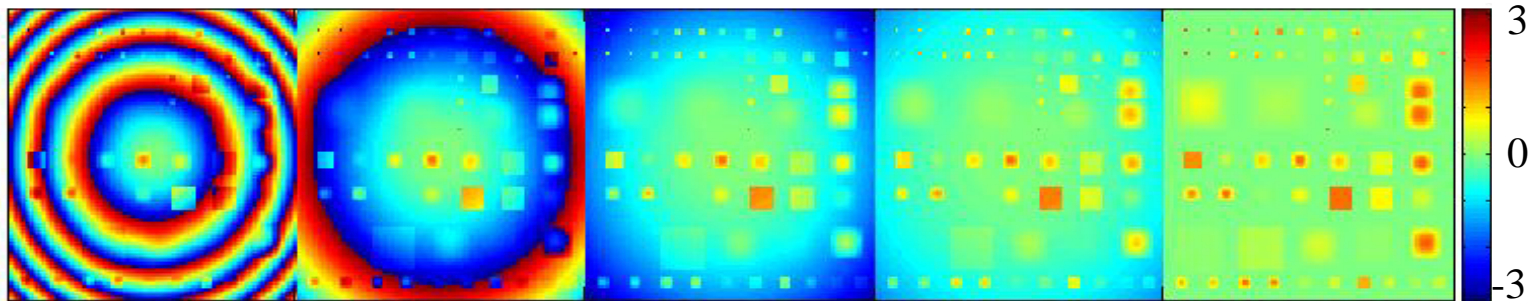
or 
$$\Delta X \leq \frac{\lambda z}{O \cdot a}$$



# Numerical Simulation Example



Material: Carbon; Object size: 10x10 micron; Maximum thickness  $\sim 10 \mu\text{m}$ ;  
 X-ray:  $1 \text{ \AA}$ ; Maximum phase difference  $\sim 1.87\text{rad}$ ; Absorption contrast  $\sim 0.1\%$ ;  
 Oversampling factor:  $2 \times 2$ .



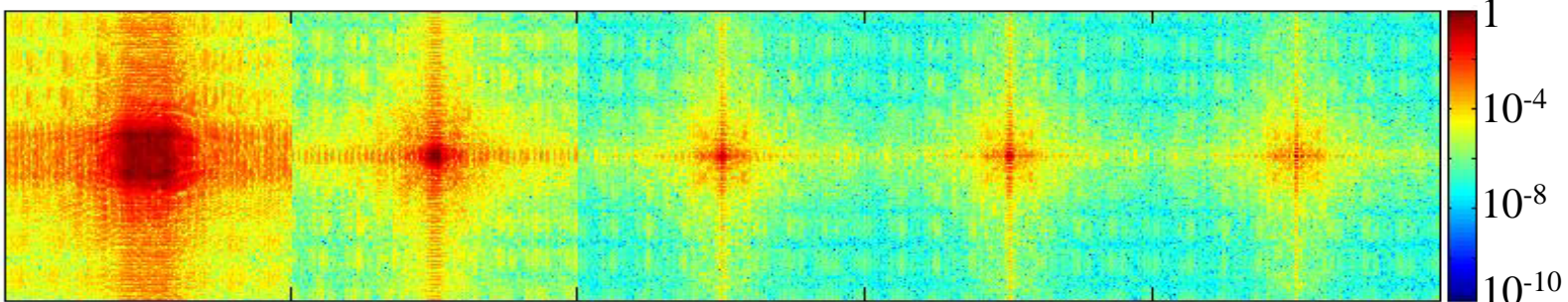
$z = 5 \text{ cm}$   
 $N_z = 5$

$z = 20 \text{ cm}$   
 $N_z = 1.25$

$z = 50 \text{ cm}$   
 $N_z = 0.5$

$z = 100 \text{ cm}$   
 $N_z = 0.25$

$z = \infty$   
 $N_z = 0$



$\Delta X = 0.25 \text{ \mu m}$

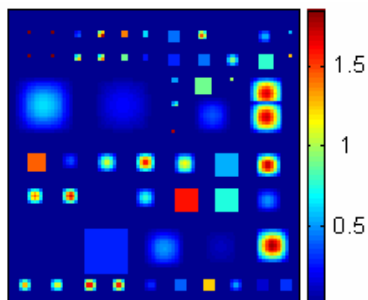
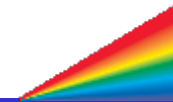
$\Delta X = 1 \text{ \mu m}$

$\Delta X = 2.5 \text{ \mu m}$

$\Delta X = 5 \text{ \mu m}$

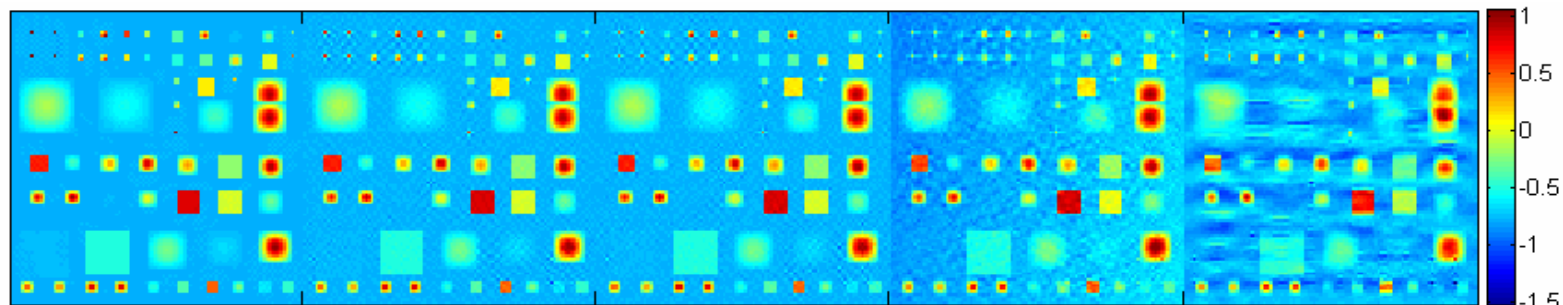
$\Delta X \propto z$

# Phasing Results



*Xiao & Shen, PRB, in press (July 2005)*

*Twin image?*



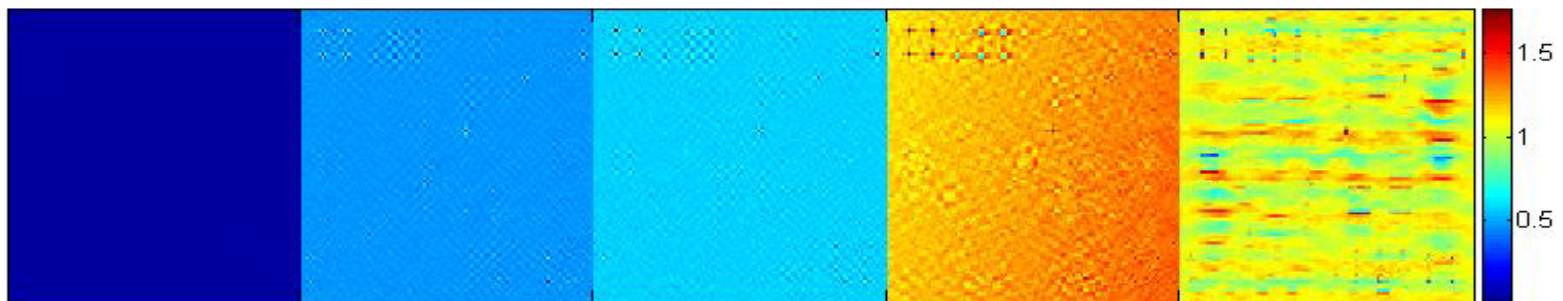
$z = 5\text{cm}$

$z = 20\text{cm}$

$z = 50\text{cm}$

$z = 100\text{cm}$

$z = \infty$



$R = 0.9998$

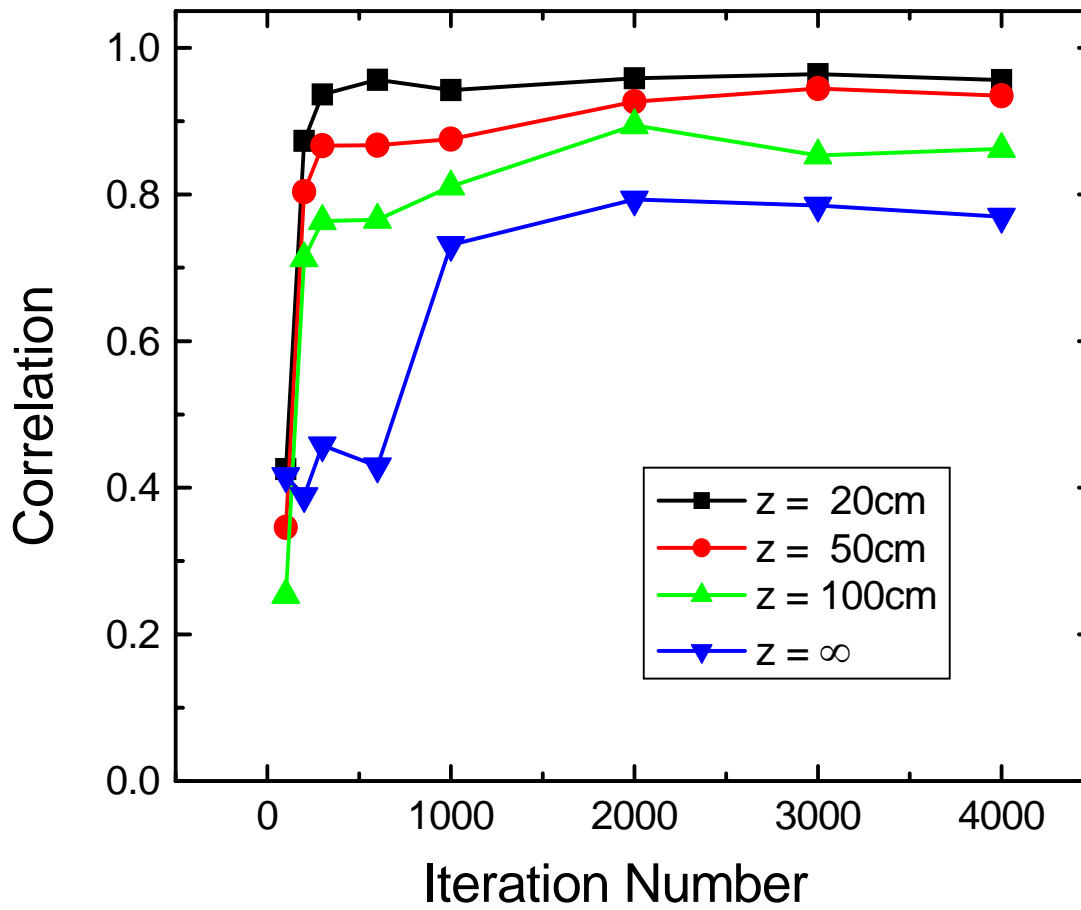
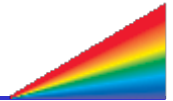
$R = 0.9954$

$R = 0.9943$

$R = 0.9639$

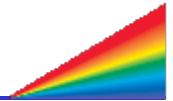
$R = 0.9248$

# Comparisons with Far-field



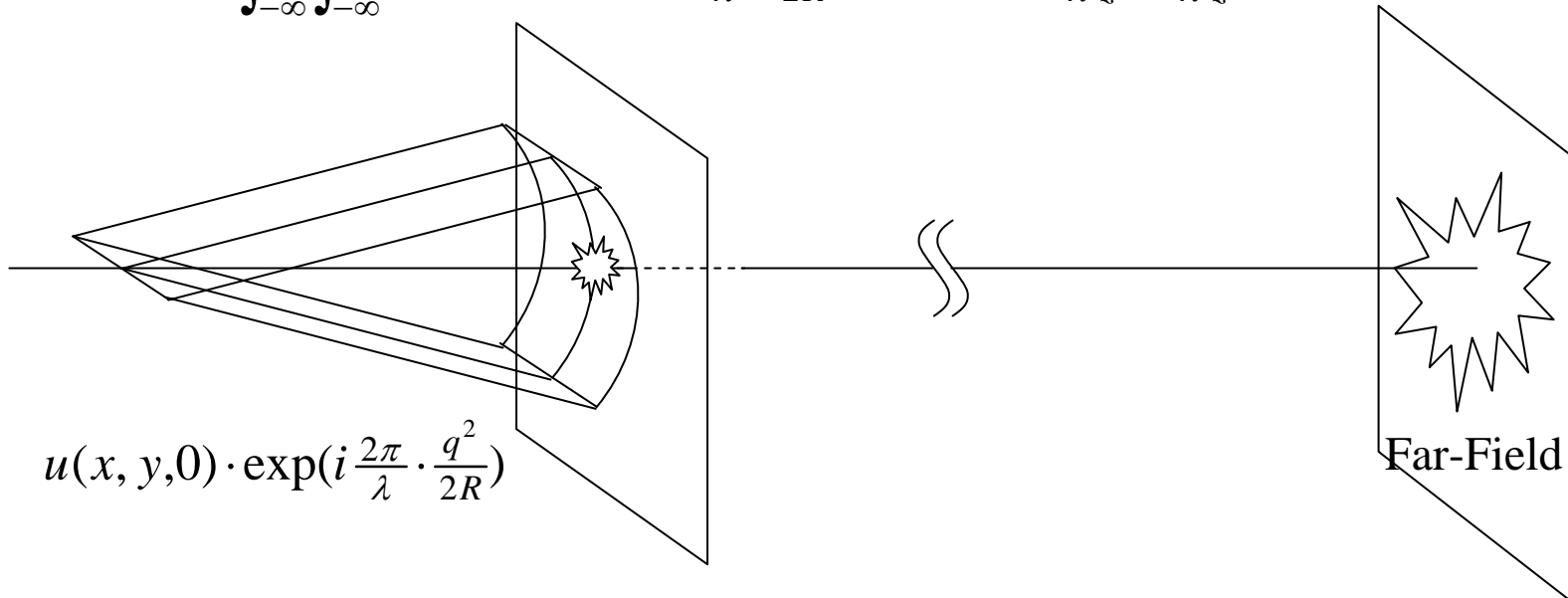
- Correlation coefficient between reconstructed phase map and the original phase map as a function of number of iterations in the iterative phase retrieval using the distorted object approach.
- Statistical Poisson noises are included in all diffraction patterns in these simulations.
- All these diffraction patterns are assumed to have the same total integrated intensity of  $4.4 \times 10^7$  photons.
- Maximum intensity in the diffraction patterns are  $7.6 \times 10^5$ ,  $6.2 \times 10^6$ ,  $8.8 \times 10^6$  and  $1 \times 10^7$  photons, for  $z = 20\text{cm}$ ,  $50\text{cm}$ ,  $100\text{cm}$ , and far-field, respectively.

# Distorted Object & Astigmatic Diffraction



K.A. Nugent et al, Acta Cryst. A61, 373-381, (2005)

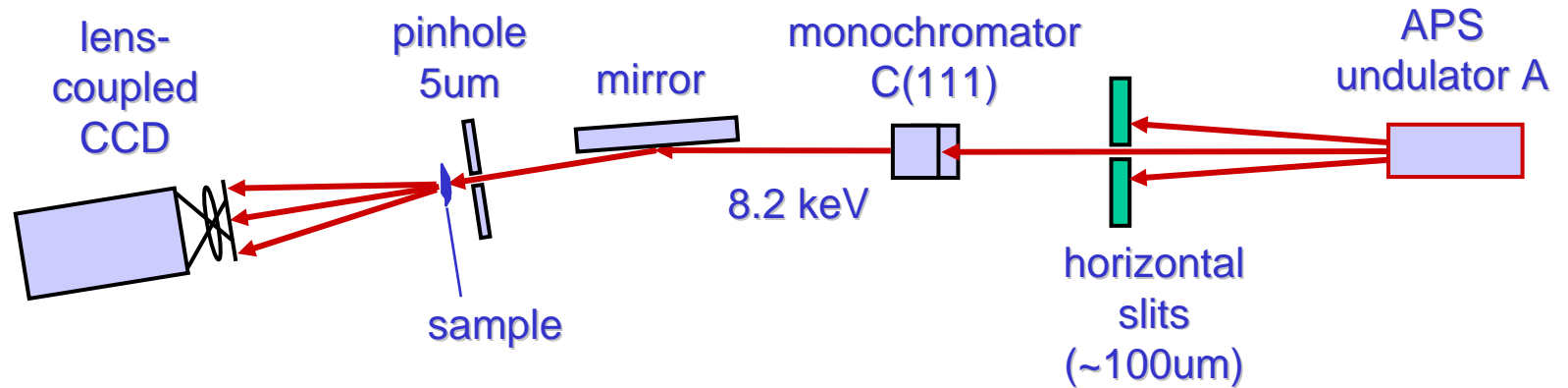
$$U(X, Y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, y, 0) \cdot \exp(i \frac{2\pi}{\lambda} \cdot \frac{q^2}{2R}) \cdot \exp(i2\pi(\frac{x \cdot X}{\lambda \cdot z} + \frac{y \cdot Y}{\lambda \cdot z})) dx dy$$



**Astigmatic diffraction (curved beam) method:** create parabolic or spherical wave front with K-B mirror, FZP lens

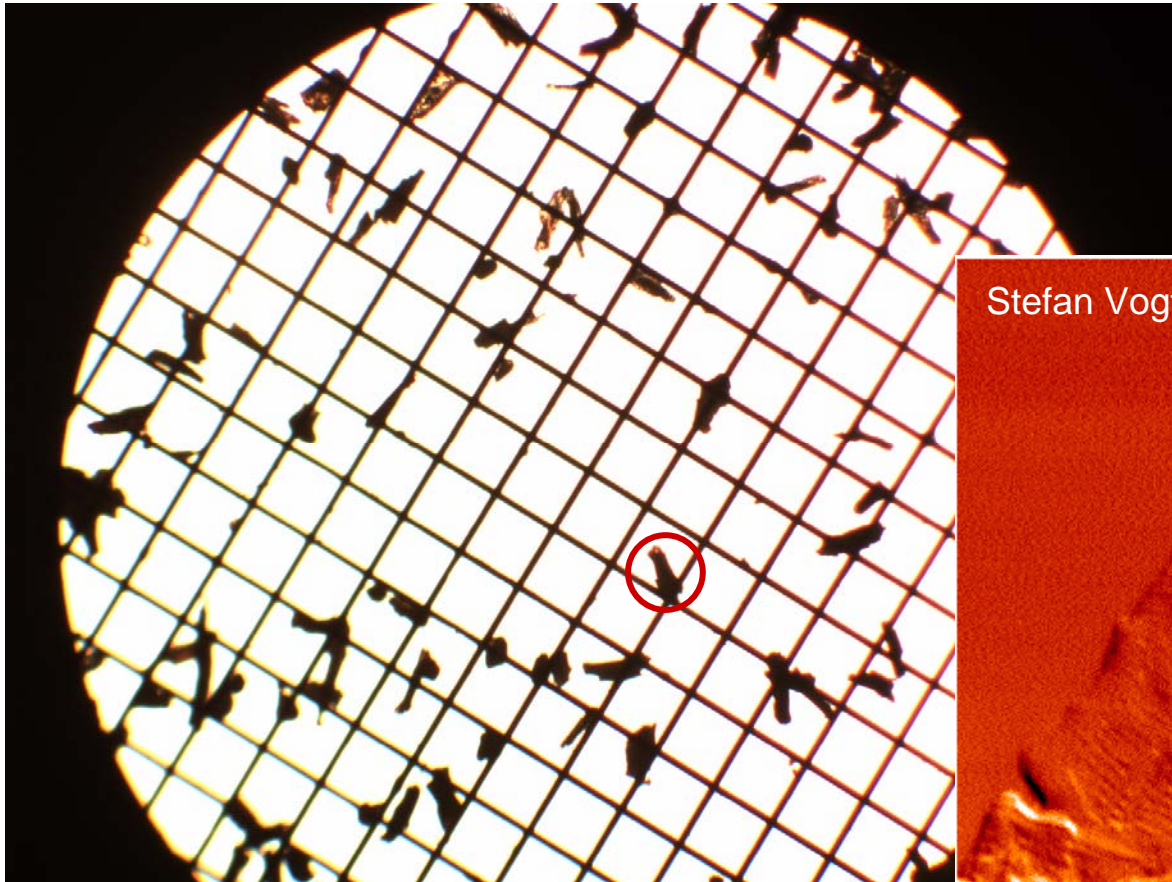
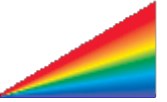
**Distorted object method:** move detector a little bit closer to the sample comparing with conventional far-field imaging

# Test Experiment at 32-ID-B

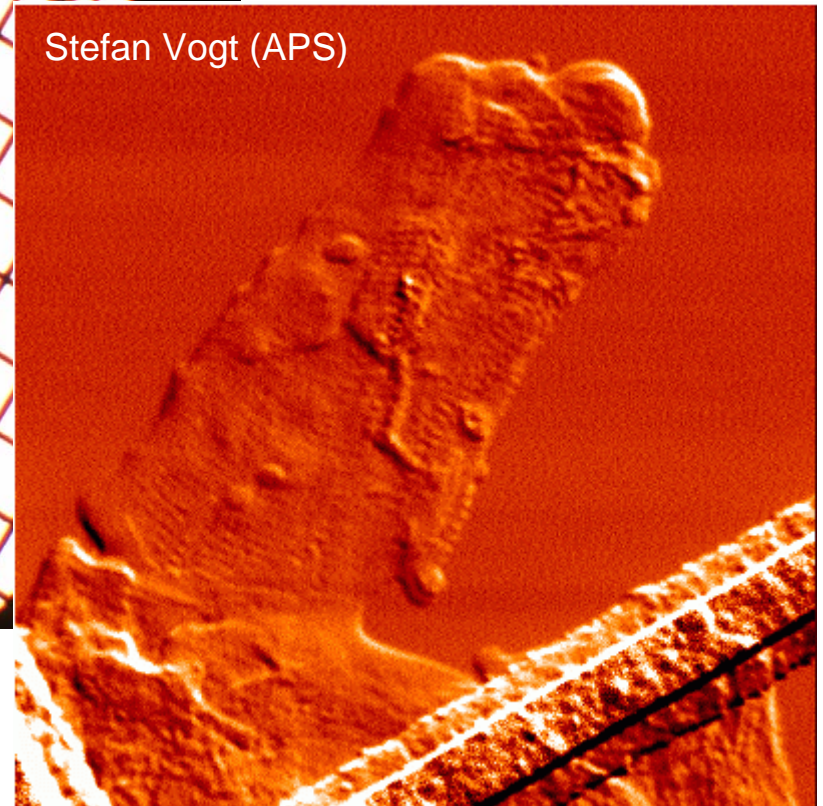


Dr. Xianghui Xiao (CHESS, Cornell University)

# Specimen Used in Experiment



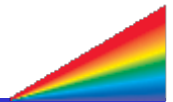
Differential phase contrast image with a configured detector (Chris Jacobsen)



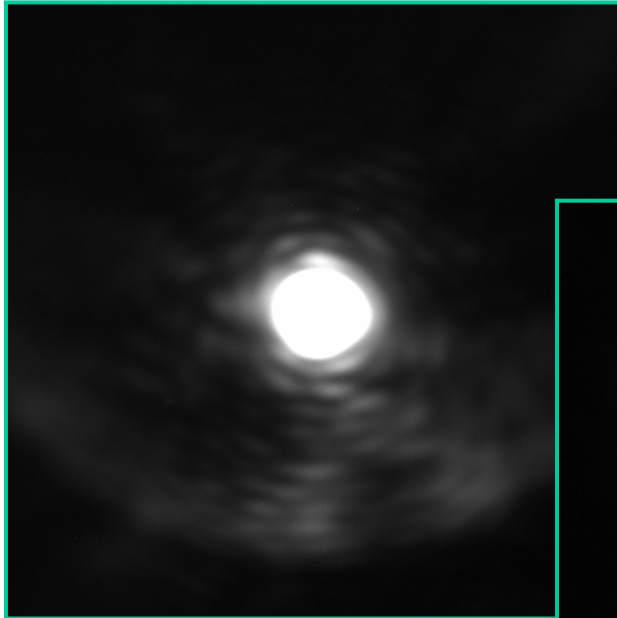
20  $\mu\text{m}$  

Specimen: cardiac myocytes  
Brad Palmer (Univ. Vermont)

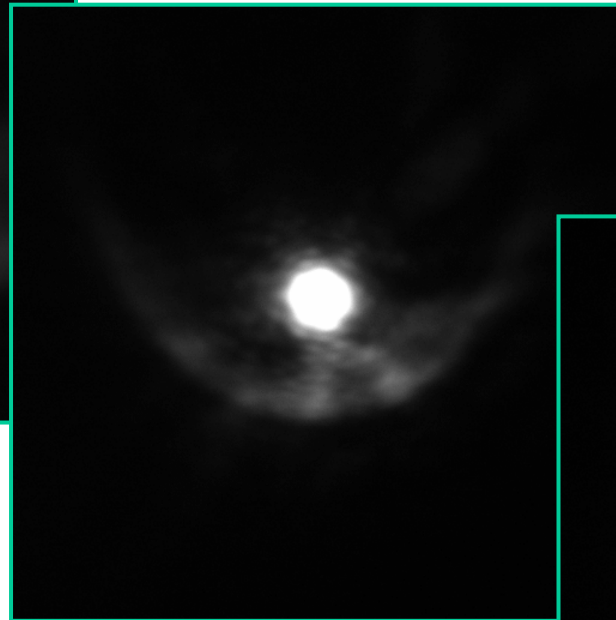
# Preliminary Results on Myocytes



- Data obtained June 10-12, 2005
- Multiple images with different exposure times to avoid saturation (need stitching)

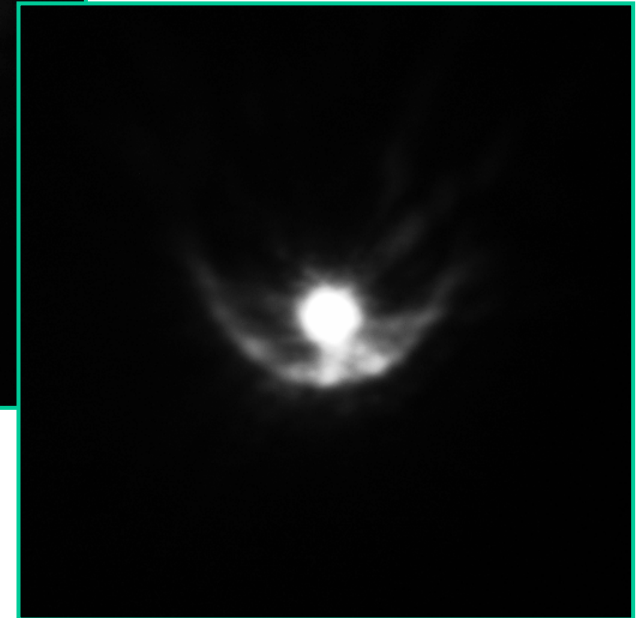


$Z = 910 \text{ mm}$   
 $N_z = 0.045, \Delta X < 27 \mu\text{m}$   
 $\Delta x = 330 \text{ nm}$



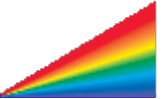
$Z = 455 \text{ mm}$   
 $N_z = 0.09, \Delta X < 14 \mu\text{m}$   
 $\Delta x = 160 \text{ nm}$

$Z = 277 \text{ mm}$   
 $N_z = 0.15, \Delta X < 8 \mu\text{m}$   
 $\Delta x = 100 \text{ nm}$

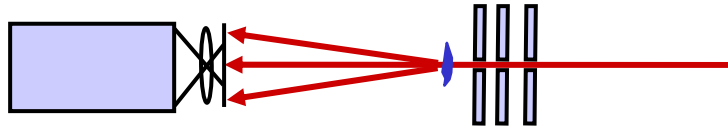


- Images at several  $z$  with  $N_z = 0.045 - 0.45$
- Data processing in progress ....

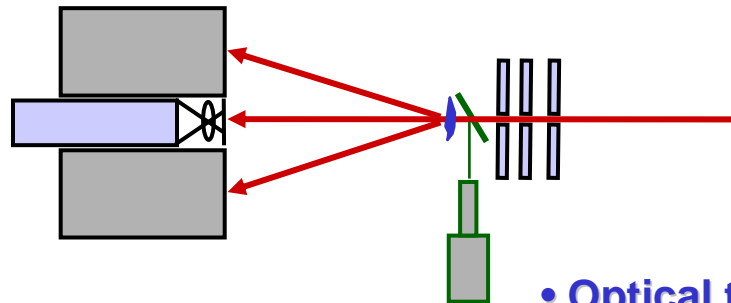
# Improving Experimental Setup



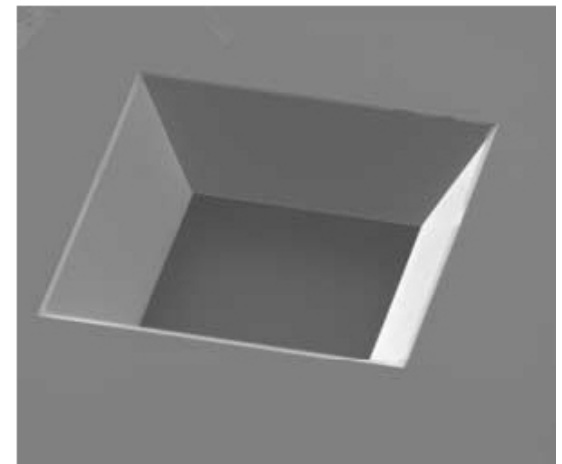
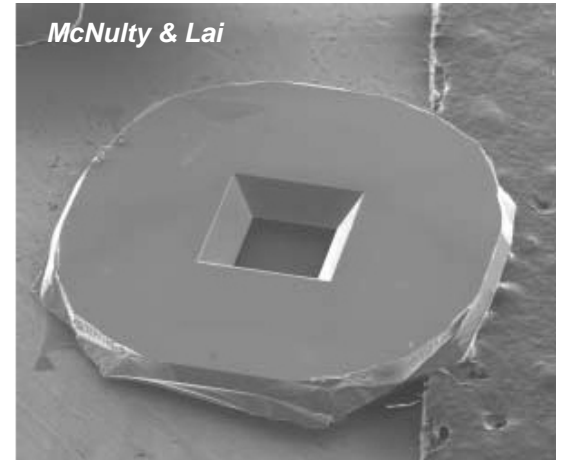
- Pinhole scattering → need scatter shields
- Use multiple silicon nitride windows
- Need four independent x-y translations



- Need CCD with larger dynamic range
- Perhaps a hybrid direct/lens-coupled CCD
- Finer CCD pixel size

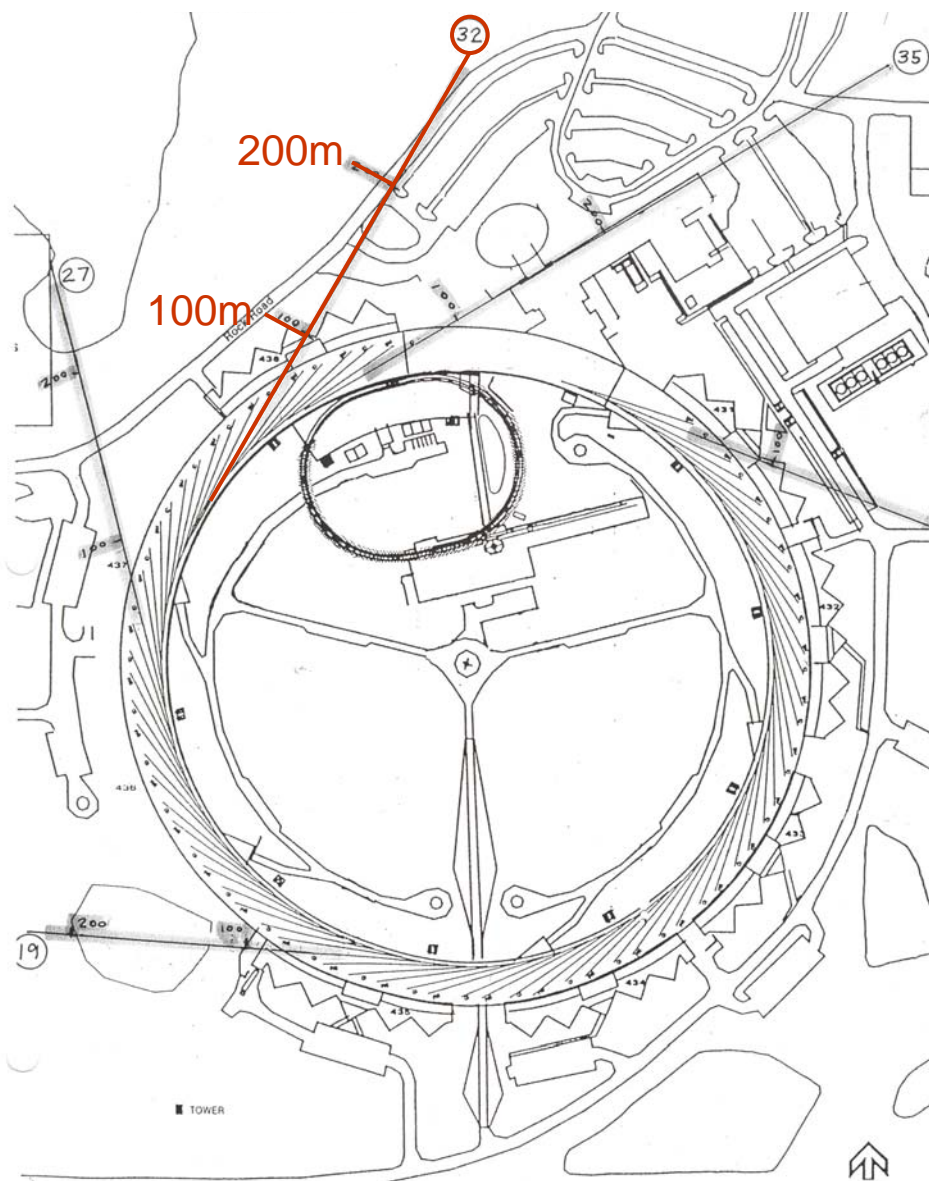


- Optical telescope for sample viewing & centering



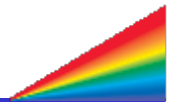


# Imaging Beamline at Sector 32



- ❑ Consideration of making Sector 32 (Com-CAT) a dedicated imaging XOR-Sector:
  - Phase imaging / tomography
  - Diffraction topography
  - Diffraction enhanced /USAXS imaging
  - Coherent Fresnel diffraction
- ❑ Many Benefits:
  - Provides immediate home for the imaging group to satisfy users demand, to expand user base, and to test new application & ideas.
  - Frees up 1-ID so Sector 1 can proceed to become a dedicated high-energy sector.
  - Potential for future expansion perhaps into a long beam line (~200m) with optimized insertion devices.
- ❑ Current Status: starting to perform coherent imaging experiments, and to plan for beam line extension.

# Proposed Project for a Dedicated Imaging Sector

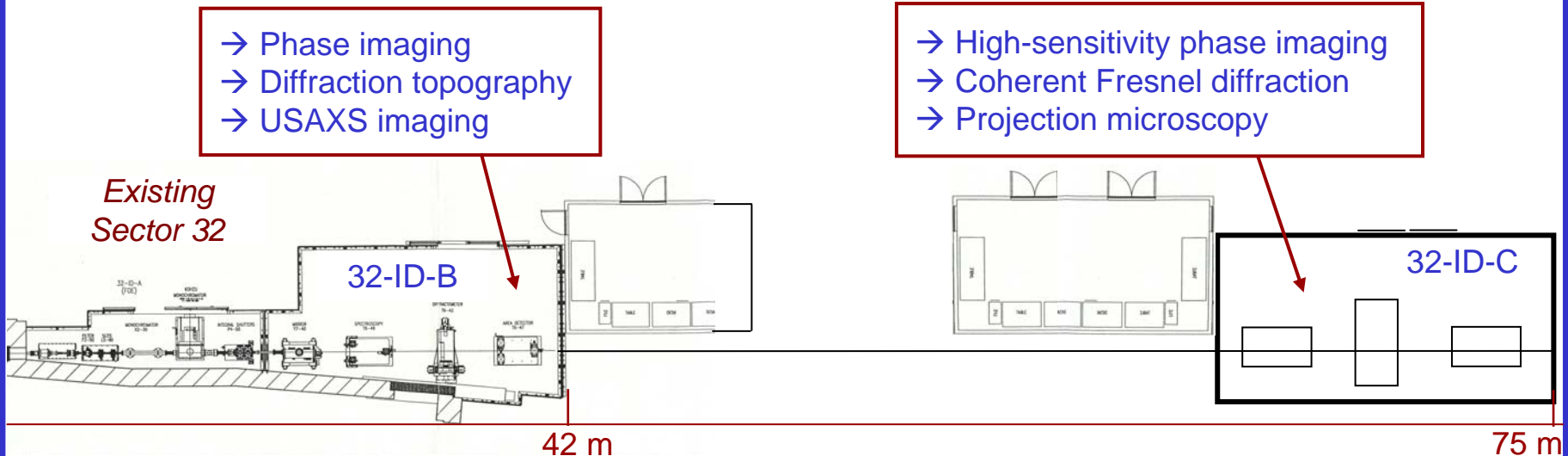


**Phase I:** make use of existing hutch and equipment, with upgrades to monochromator & Be windows

- Phase imaging
- Diffraction topography
- USAXS imaging

**Phase II:** expansion to ~75m by building a new white-beam capable hutch at 75m and beam transport

- High-sensitivity phase imaging
- Coherent Fresnel diffraction
- Projection microscopy



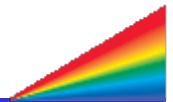
## Main Research Programs:

- **Near-field diffraction and imaging**
- **Optics development**
- **Ultrafast imaging with pink beam**

**Phase III:** future expansion to ~200m (ID-D) with additional outside funding, and with optimized insertion devices and optics

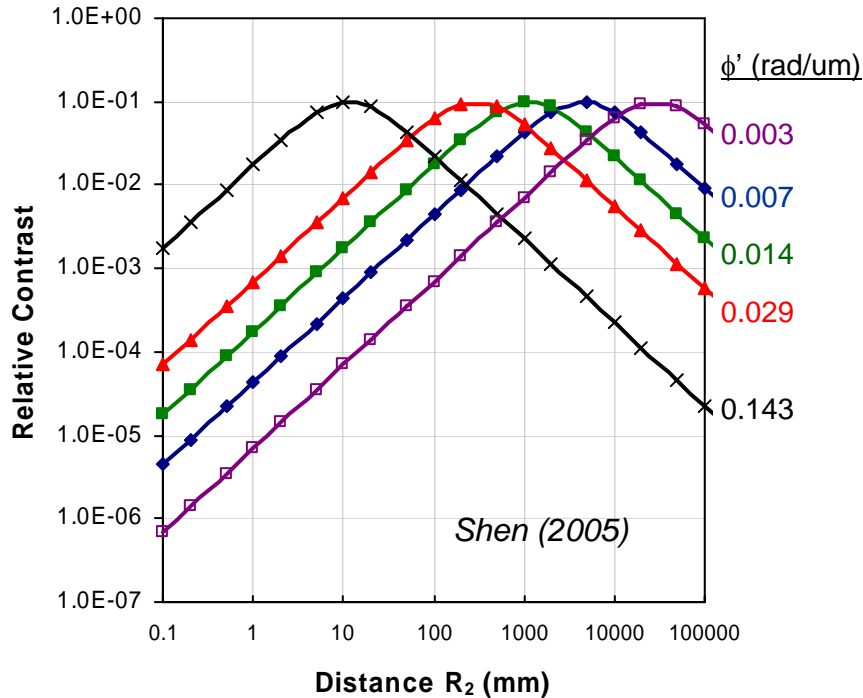
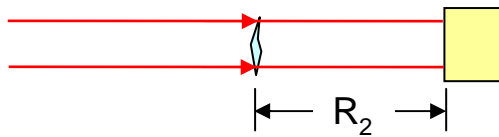
- Ultra-sensitivity phase imaging
- Ultra-plane-wave topography
- Medical imaging ?

# Other Applications of Distorted Object

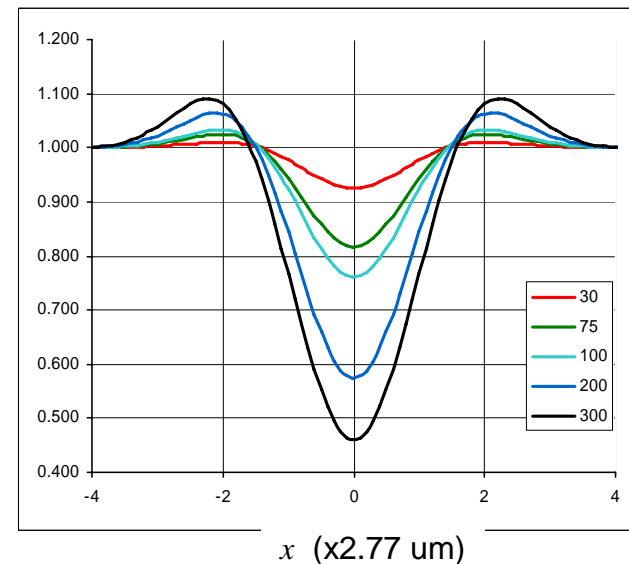
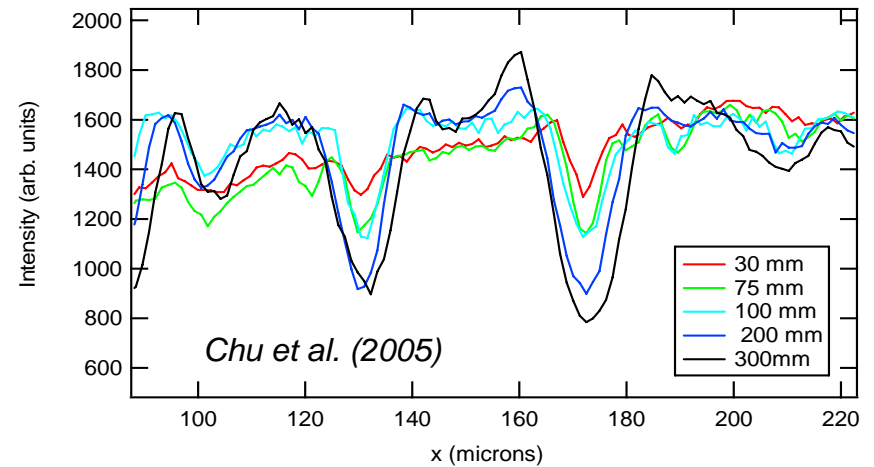


**2D Gaussian phase object**  
**→ Analytical expressions**

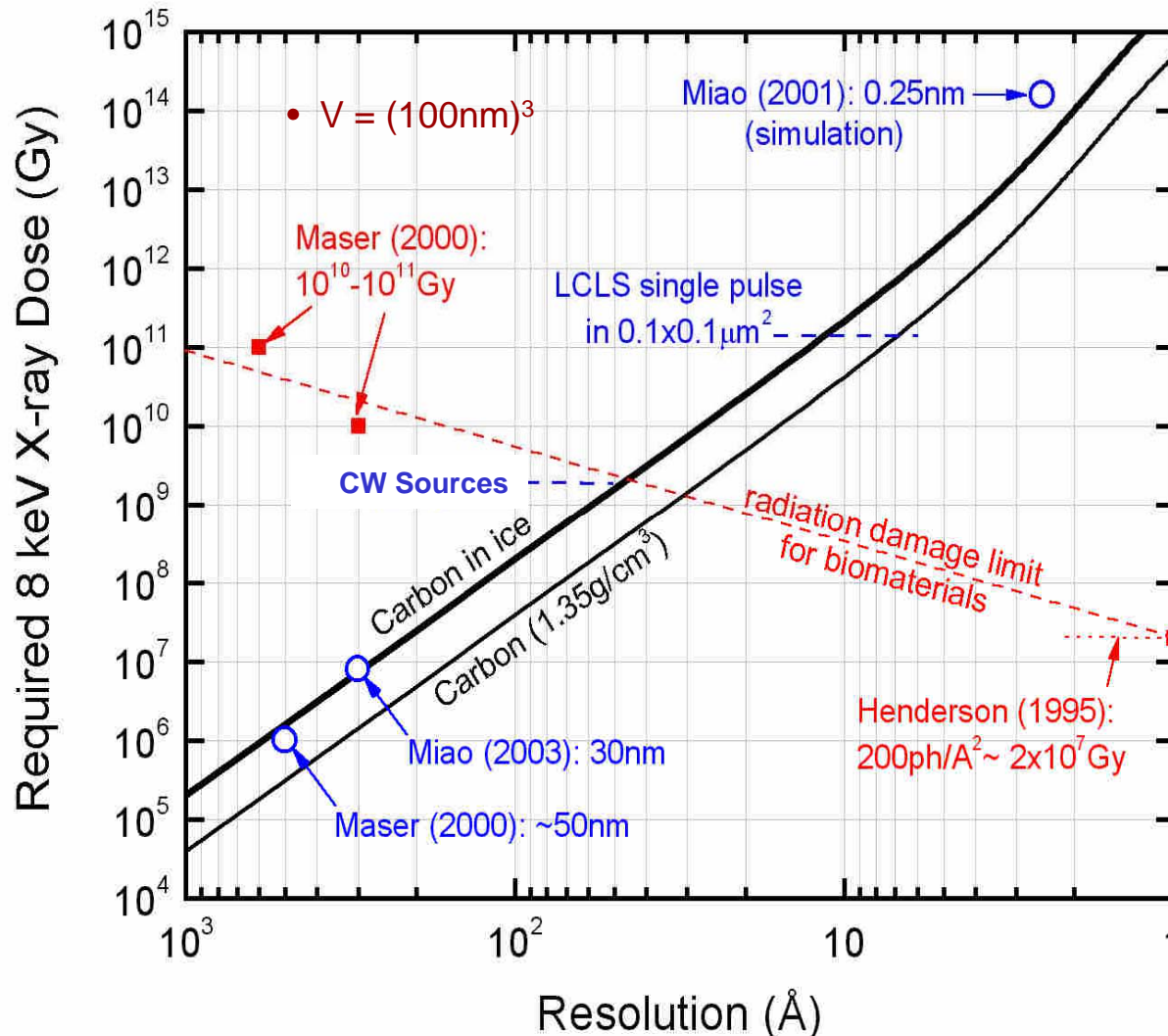
□ Phase imaging sensitivity study



□ Coherent diffraction topography



# Dose vs. Resolution & Radiation Damage (biomaterials)



$$Dose = \frac{(I_0 \Delta t) \mu \cdot E}{\rho}$$

$\sim \text{Const. in } \lambda$

( $\because I_0 \Delta t \sim \lambda^{-2}, \mu \sim \lambda^3, E \sim \lambda^{-1}$ )

← Shen et al. JSR 11, 432 (2004)

$$I \sim L \cdot d^3 \lambda^2$$

$L = \text{thickness}$

Marchesini, Howells, et al. Optics Express (2003)

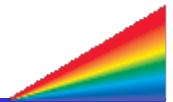
$$I \sim d^4 \lambda^2$$

# Summary



- ❖ Distorted Object Approach provides a simple **universal method** for wave field propagation by fast **Fourier transform**, in both far-field and near-field regimes.
- ❖ Distorted Object Approach extends the iterative phasing algorithm to near-field and provides an **alternative** to **far-field** coherent diffraction imaging and to **astigmatic** diffraction with curved beams. It eliminates the Friedel enantiomorph phasing ambiguity in the far-field.
- ❖ Practical imaging applications may be in the region where Fresnel number  $N_z$  lies between **0.2 – 1**, so that requirement on detector pixel size is relaxed but significant Fresnel **zone curvature** still exists.
- ❖ Other applications include design of phase imaging beam line, and phase-sensitive x-ray diffraction topography.
- ❖ APS plans to expand in x-ray **imaging**, including to plan for a bio-nanoprobe beam line, to build a full-field imaging beam line for coherent imaging applications.

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Xianghui Xiao



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Zhonghou Cai  
Yong Chu  
Stefan Vogt



University of Vermont:

Brad Palmer

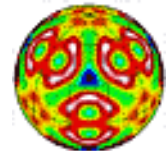
X-ray Physics Group (APS):

Peter Lee



Detector Group (APS):

Tim Madden  
John Lee  
Steve Ross



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