Innovation opportunities in H2020 for developing partnerships between industrial providers and synchrotrons

S. Niessen & J.P. Caminade

Ministry for Education, Higher Education and Research
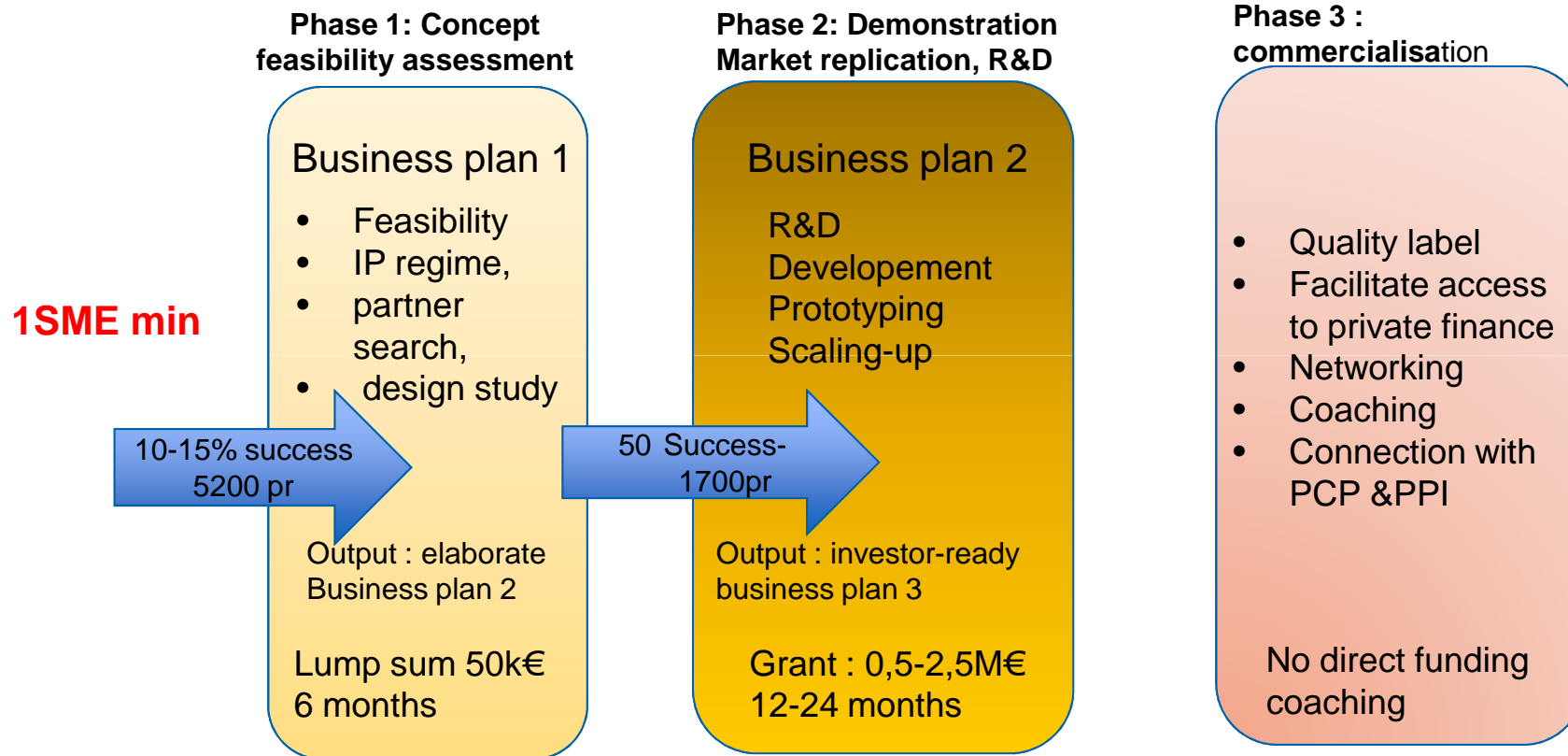
DGRI-Department of Large Scale Research Infrastructures

Summary

■ Instruments for innovation in H2020

■ RI call on PCP-PPI in H2020 (RI-WP 14-15)

■ Guidelines for the RI-WP 16-17

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Instruments for innovation in H2020

Tool	Sector	Pot Budget	Type of partners	Funding rate
Projects	All	/	all	70-50% (innovation) 100% (non profit org)
SME Instr	Societal Chal, LEITs	2,8 b€	SME (labs in subcontracting)	70%
FTI	Societal Chal, LEITs	100M€ (2015)	All (major private sector)	70% (co-fund) 100% (CSA)
PCP PPI	e-Health, ICT, security, Infra E-Health, ICT, transport, Infrastructures	130-140M€ (2014-2015)	Public procurers & labs	70% (Co-fund) 20% (Co-fund)
EuroStars II Eureka	All	1,14b€ Label	All	National rules
InnovFIN	All	24b€	Private sector	National rules

SME Instrument : business innovation support from idea to market

Phase 1: Concept feasibility assessment

Phase 2: Demonstration Market replication, R&D

Phase 3 : commercialisation

1SME min

Business plan 1

- Feasibility
- IP regime,
- partner search,
- design study

10-15% success 5200 pr →

Output : elaborate Business plan 2

Lump sum 50k€ 6 months

Business plan 2

R&D
Developement
Prototyping
Scaling-up

50 Success- 1700pr →

Output : investor-ready business plan 3

Grant : 0,5-2,5M€ 12-24 months

- Quality label
- Facilitate access to private finance
- Networking
- Coaching
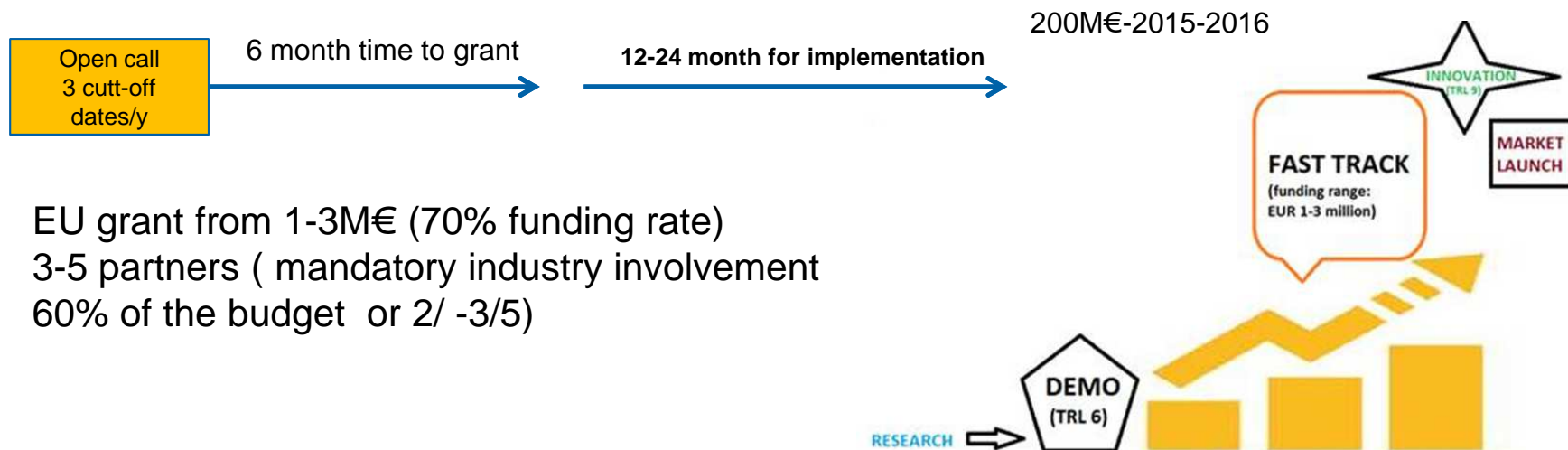- Connection with PCP &PPI

No direct funding coaching

Open call (4 cutt-off dates) in SC & LEITs not allowed in INFRA

SME instrument: Useful links

- Participant Portal : http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/ftags/sme_instrument.html

- Workprogramme: http://ec.europa.eu/research/sme-techweb/pdf/SME%20Instrument%20in%20WP%202014-2015.pdf

- EASME Executive Agency for Small and Medium-sized Enterprises

http://ec.europa.eu/easme/sme/   (Bernt Reichert)

- SME Techweb: http://ec.europa.eu/research/sme-techweb

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Fast Track to Innovation (FTI)

■ Provides funding for bottom-up proposals for close-to-market innovation activities in any area of technology or application

■ The aim is to:

- reduce time from idea to market,
- stimulate the participation of first-time applicants to EU research funding, and
- increase private sector investment in research and innovation.

200M€-2015-2016

| Open call 3 cutt-off dates/y | 6 month time to grant | 12-24 month for implementation |

EU grant from 1-3M€ (70% funding rate)
3-5 partners (mandatory industry involvement
60% of the budget or 2/ -3/5)

INNOVATION
(TRL 9)

MARKET LAUNCH

FAST TRACK
(funding range:
EUR 1-3 million)

DEMO
(TRL 6)

RESEARCH

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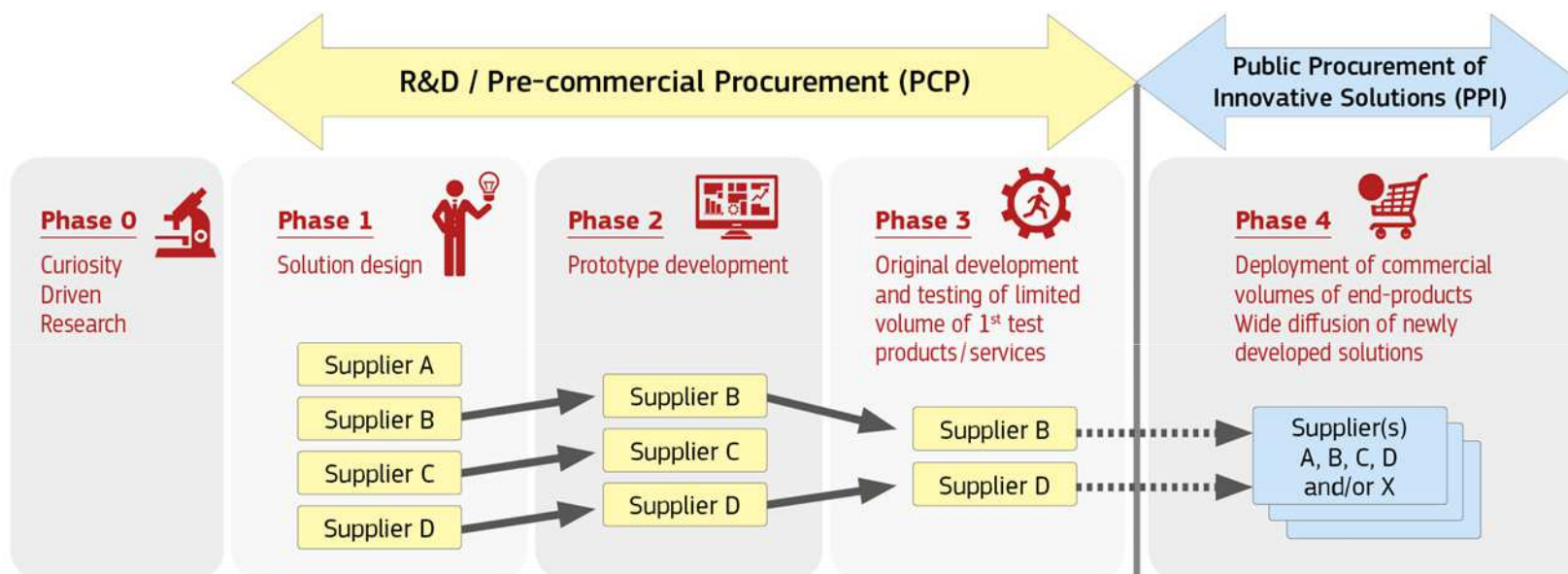
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FTI : Useful links

■ Participant Portal:
http://ec.europa.eu/research/participants/portal/desktop/en/opportuni
ties/h2020/topics/9096-ftipilot-1-2015.html

■ Work Programme:
http://ec.europa.eu/research/participants/data/ref/h2020/wp/2014_20
15/main/h2020-wp1415-fast-track_en.pdf

■ Contact point: samuel.maenhout@ec.europa.eu

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Pre-commercial Procurement (PCP), Public Procurement of Innovative solutions (PPI)

PCP : public procurement of R&D services to steer the development of solutions towards concrete public sectors needs

PPI: public procurement of innovative solutions/products, acting as launching customer/first buyer of innovative commercial end-solution newly arriving on the market

PCP-PPI: 2014-2015 Horizon 2020 /budget 130-140 M€

- 9 areas have calls to cofund **PCPs** (1 in e-Health, 6 in ICT, 1 in security, 1 in research infrastructure)-cofund Actions (70% funding rate)

- 6 areas have calls to cofund **PPIs** (1 in e-Health, 3 in ICT, 1 in Transport, 1 in research infrastructure) cofund Actions (20% funding rate)

- 11 areas have calls to support networks of public procurers preparing future PCPs/PPIs (1 in bio-economy, 4 in Energy, 2 in Climate, 2 in ICT, 1 in security, 1 in research infra (CSA-100% funding rate)

- 3 independent legal entities /3 member states (or associated) - min 2 public procurer from 2 countries)

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WP- 14-45: INFRASUPP 2: Innovative procurement pilot in the field of scientific instrumentation (deadline 21 /04/2015)

a. Feasibility studies (CSA)

- focus on analysing /exploring the use of PCP /PPI for common purchasing operations of a set of research infrastructures.

- can include analysis of the state of the art and of the market offer.

b. Pre-commercial procurement in the field of scientific instrumentation (PCP cofund)

- define requirements and terms of reference for common procurement of scientific instrumentation

- organize joint PCP action encouraging R&D and validation of breakthrough solutions that can bring radical scientific and efficiency improvements in RI services.

c.Public procurement of innovative scientific instrumentation (PPI cofund)

- organizing joint procurement by RI

Budget: 14M€

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PCP-PPI: Useful links

- http://www.innovation-procurement.org/

- https://procurement-forum.eu/

- Where can I find PCP-PPI calls? http://ted.europa.eu

  or national tender platforms

Examples

Networks : Sustainable cities (http://www.sci-network.eu)

Happi- Healthy Ageing (http://www.happi-project.eu)

PCP: CHARM Traffic management :
http://www.rws.nl/en/about_us/business_opportunities/charm_pcp/index.aspx

PPI : Silver economy: Stop&Go   (http://stopandgoproject.eu)

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Eurostars II

- Promotes transnational market-oriented innovation of R&D performing SMEs

- Consortia: at least 2 participants from 2 Eurostars countries (34)

- Leader SME + other private or public partners

- Funding on national basis (see website)

- https://www.eurostars-eureka.eu/

INNOVFIN

- EIB Group : European Investment Bank (EIB) and the European Investment Fund (EIF)

- EIB: SME guaranties, loans

- EIF : guaranties , Venture capital

- EU access to finance portal : http://europa.eu/youreurope/business/funding-grants/access-to-finance/

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Coming next

■ 2016 and 2017 WP for RI

(from the draft proposed by the EC on 13 March 2015)

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Strategic orientations for the RI WP 2016-17 : what's new ?

➢ A strategic approach with more attention to the contribution to the current political priorities, including to the Juncker agenda for Jobs, Growth, Fairness and Democratic Change

➢ More integration with other parts of Horizon 2020, in particular the Societal challenges, to exploit synergies and complementarities, and address their identified gaps

➢ New and reinforced emphasis on innovation, sustainability and data exploitation throughout all programme, in particular in actions for advanced communities and established pan-European research infrastructures

➢ Support to large scale initiatives for innovation

➢ New approach for integrating activities with different processes for Starting and Advanced Communities

➢ **a budget cut of € 105 million (from 2,488 to 2,383) due to the Juncker Plan**

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Research Infrastructures calls on WP 2016-2017

5 lines of Calls

1. Long term sustainability of pan-European RIs (140M€)

2. Integrating and Opening RIs of European Interest (200M€)

3. e-Infrastructures (221M€)

4. Support to Innovation (30M€)

5. Policy and International cooperation (11M€)

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Call 1 - Long term sustainability of new pan-European RIs

To facilitate and support preparation & implementation of the ESFRI and other world-class RIs, which will help Europe to respond to grand challenges in science, industry and society.

Emphasis on long-term sustainability, efficient operation, data management and on fostering the innovation potential

1. Design studies
2. Preparatory Phase of ESFRI projects
3. Individual support to ESFRI projects and other WCI
4. Support to a European Research and Science Cloud

Deadlines: 30/03/16 (2+3), 22/06/16 (4), 29/03/17 (1)   140M€

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Call 2 - Integrating and opening RIs of European interest:
Integrating Activities

To open up key national and regional research infrastructures to all European researchers and to ensure their optimal use and joint development:

➢ **Advanced Communities: RIs showing an advanced degree of coordination gained through previous IA grants**

  One stage call. Targeted approach based on a Multi-Annual Plan (MAP)

Emphasis on innovation aspects (e.g. knowledge and technology transfer, knowledge sharing through co-creation, i.e. joint development of high-tech components and solutions, promotion of RI use by industrial researchers), on widening trans-national and virtual access provision, and, in particular for "super advanced" communities (= those which have had at least 3 previous contracts), on addressing the sustainability of the integrated RI services provided at European level through, e.g., the involvement of funders and the preparation of a business plan beyond the end of the project.

➢ **Starting Communities: never supported for their RI integration under EU-FP**

  Two-stage call. Bottom-up, mentioning gaps identified by other parts of H2020
Emphasis on networking, standardisation and common access procedure

Deadlines : 30/03/16 (AC and SC first stage)   200M€

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Call 3 - e-infrastructures

➢ **Integration and consolidation of e-infrastructure platform supporting European policies and research and education communities**

➢ **Prototyping innovative e-infrastructure platforms and services for research education communities, industry and citizen at large**

➢ **Support to policies and international cooperation**

➢ **GEANT Partnership projects (FPA)**

➢ **Computing /storage e-infra for the Human Brain Project FET**

➢ **Prize for e-infrastructures service innovation**

Deadlines not yet available   **221M€**

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Call 4 – Fostering the innovation potential of Research Infrastructures

Support PCP/PPI or other financial co-funding scheme that would effectively enable synergies amongst the RI, academia and industry (inc. SMEs) through co-innovation.

- ➢ **1/ Pilot initiative for fostering co-innovation for future detection and imaging technologies addressing medicine, manufacturing, aerospace & engineering activities in Europe (up to 20M€);**
  - ➢ Identify wide spectrum of techno opportunities
  - ➢ Support techno and innovation transfer
  - ➢ Enabling best conditions for full exploitation by industrial partners

- ➢ **2/ Support actions (~half a dozen ?) devoted to the coordination and networking of technological infrastructures which :**
  - ➢ Provide R&D platforms on key technology for future worldwide RIs
  - ➢ Allow to develop a partnership with industry and SMEs
  - ➢ Set strategic priorities for each key technology.

Call deadlines : 29/03/2017   20M€ (1), 10M€ (2)

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Timetable towards the adoption of the Work programme 2016-2017

2015	
Jan – May	**Preparation of draft WP parts**
13 March	*Draft RI WP text, including the MAP*
26 March	*Deadline for RI PC Members' initial feedback*
15 April	*New version before next PC meeting*
30 April	*Discussion at the PC meeting*
4 May	**Final Draft WP parts submitted to coordination Unit**
June	**Inter-service consultation**
July	**Opinions of PC configurations**
September	**Preparation of written procedure for the adoption**
End of September	**Adoption of the WP for 2016-2017 + publication of the calls for proposals**

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■Thank you for your attention

Any questions?

Additional slides

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Call 5 - Policy and International cooperation

- **Coordination of national bodies/agencies involved in the evaluation of RIs; promotion of joint work to exchange best practises, develop guidelines for ex-post evaluation and monitoring mechanisms as well as to foster joint evaluation exercises, synchronising funding cycles**

- *Support the development of a sustainable and comprehensive database targeted at policy-makers on Research Infrastructures of more than national relevance in Europe (?)*

- **INCO actions in areas selected taking into account the INCO strategy incl. science diplomacy (e.g. SESAME, global research infrastructures)**

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